

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)  
(Jointly Administered)

Ref. Docket Nos. 15533, 15535,  
15536, 15544, 15545, 15550-15555,  
15557, 15559, 15560-15566, 15569,  
15571-15573, 15576, 15577

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 5, 2011, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
7<sup>th</sup> day of April, 2011

/s/ Panagiota Manatakis

Notary Public, State of New York

No. 01MA6221096

Qualified in Queens County

Commission Expires April 26, 2014

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP GLOBAL MARKETS INC.  
TRANSFEROR: CITIBANK EUROPE PLC. HUNGARIAN BRANCH OFFICE  
ATTN: MARC HEIMOWITZ  
390 GREENWICH STREET, 4TH FL  
NEW YORK NY 10013

CITIGROUP GLOBAL MARKETS INC.  
PAUL WEILL RIFKIND WHARTON & GARRISON LLP  
ATTN: DOUGLAS R. DAVIS  
1285 AVENUE OF THE AMERICAS  
NEW YORK NY 10019

Please note that your claim # 55403-18 in the above referenced case and in the amount of  
\$17,982,725.00 has been transferred (**unless previously expunged by court order**)

HIGHTIP CAPITAL LLC  
TRANSFEROR: CITIGROUP GLOBAL MARKETS INC.  
C/O RICHARDS KIBBE & ORBE  
ATTN: LARRY HALPERIN  
ONE WORLD FINANCIAL CENTER  
NEW YORK NY 10281

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 15533 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 04/05/2011

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

## **EXHIBIT B**

TIME: 12:19:13  
DATE: 04/05/11

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BANCO SABADELL, S.A.	TRANSFEROR: MARTA DE LA HIJA SENTIS PLAZA DE SANT ROC, 20 SABADELL 08201 SPAIN
BANCO SABADELL, S.A.	TRANSFEROR: DEL CARMEN MASSONI PI, MARIA PLAZA DE SANT ROC, 20 SABADELL 08201 SPAIN
BARCLAYS BANK PLC	TRANSFEROR: UGF BANCA S.P.A. 745 SEVENTH AVENUE NEW YORK NY 10019
BASSWOOD OPPORTUNITY FUND INC	C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY FUND INC.	C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY PARTNERS, L.P.	C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 0TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY PARTNERS, L.P.	C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY PARTNERS, L.P.	TRANSFEROR: JET I LP C/O BASSWOOD PARTNERS, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY PARTNERS, L.P.	TRANSFEROR: WHITEWOOD FINANCIAL PARTNERS LP C/O BASSWOOD PARTNERS, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
BASSWOOD OPPORTUNITY PARTNERS, LP	C/O BASSWOOD PARTNERS, L.L.C. ATTN: MARC SAMIT 645 MADISON AVENUE, 10TH FLOOR NEW YORK NY 10022
CITIGROUP GLOBAL MARKETS INC.	PAUL WEISS RIFKIND WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019
CITIGROUP GLOBAL MARKETS INC.	TRANSFEROR: CITIBANK EUROPE PLC. HUNGARIAN BRANCH OFFICE ATTN: MARC HEIMOWITZ 390 GREENWICH STREET, 4TH FL NEW YORK NY 10013
COIF SOV 3/11, LTD.	RICHARDS KIBBE & ORBE LLP ATTN: MANAGING CLERK ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
COIF SOV 3/11, LTD.	TRANSFEROR: GOLDMAN SACHS CREDIT OPPORTUNITIES INSTITUTIONAL 2008 FUND C/O WALKERS NOMINEES LIMITED 87 MART STREET GEORGE TOWN GRAND CAYMAN KY1-9001 CAYMAN ISLANDS
COIF SPV 3/11, LTD.	RICHARDS KIBBE & ORBE LLP ATTN: MANAGING CLERK ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
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CVF LUX MASTER S.A.R.L.	TRANSFEROR: LEVEL GLOBAL OVERSEAS MASTER FUND, LTD. C/O CARVAL INVESTORS UK LIMITED KNOWLE HILL PARK, FAIRMILE LANE COHAM SURREY KT11 2PD UNITED KINGDOM
DEL CARMEN MASSONI PI, MARIA	MONTSERRAT, 9 1R MOLINS DE REI BARCELONA 08750 SPAIN
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: DIAMOND FINANCE PLC SERIES 2003-2 ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: DIAMOND FINANCE PLC SERIES 2003-2 ATTN: MICHAEL SUTTON / PHILLIP ROEVER WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
FONDAZIONE E.N.P.A.I.A.	SIMMONS & SIMMONS ATTN: ANDREA SURIANO VIA DI SAN BASILIO 72 ROME 00187 ITALY
FONDAZIONE E.N.P.A.I.A.	ATTN: LUCIANO MONTEMURRO & PAOLO MISCIA VIALE BEETHOVEN NO. 48 ROME 00144 ITALY
FULTON BANK, SUCCESSOR BY MERGER TO RESOURCE BANK	C/O JOHN R. MERVA, ESQ. ONE PENN CENTER PO BOX 4887 LANCASTER PA 17604
FULTON BANK, SUCCESSOR BY MERGER TO RESOURCE BANK	PAUL PATTERSON, ESQ RE: FULTON BANK STRADLEY RONON, ET AL. 2600 ONE COMMERCE SQUARE PHILADELPHIA PA 19103-7098
GOLDMAN SACHS CREDIT OPPORTUNITES INSTITUTIONAL 2008 FUND LP	C/O GOLDMAN SACHS ASSET MANAGEMENT, L.P. ATTN: WENDY YUN 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS CREDIT OPPORTUNITES INSTITUTIONAL 2008 FUND LP	DAVID AMAN CLEARY GOTTLIEB STEEN & HAMILTON LLP ONE LIBERTY PLAZA NEW YORK NY 10006
HBK MASTER FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
HBK MASTER FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
HIGHTIP CAPITAL LLC	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O RICHARDS KIBBE & ORBE ATTN: LARRY HALPERIN ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
ILLIQUIDX LTD	TRANSFEROR: FONDAZIONE E.N.P.A.I.A. ATTN: CELESTINO AMORE, MANAGING DIRECTOR 80 FLEET STREET LONDON EC4Y1EL UNITED KINGDOM
KNIGHTHEAD MASTER FUND, L.P.	TRANSFEROR: BARCLAYS BANK PLC ATTN: LAURA TORRADO 623 FIFTH AVENUE, 29TH FLOOR NEW YORK NY 10022
LBVN HOLDINGS, L.L.C.	C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ANDREW N. ROSENBERG & BRIAN S. HERMANN 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064
LEHMAN BROTHERS HOLDINGS INC.	TRANSFEROR: FULTON BANK, SUCCESSOR BY MERGER TO RESOURCE BANK ATTN: GEORGE CAHILL 1271 AVENUE OF AMERICAS NEW YORK NY 10020
LEVEL GLOBAL OVERSEAS MASTER FUND, LTD.	C/O MORGAN LEWIS & BOCKUS LLP ATTN THOMAS V. D'AMEROSIO 101 PARK AVENUE NEW YORK NY 10178
LMA SPC FOR AND ON BEHALF OF MAP 84 SEGREGATED PORTFOLIO	TRANSFEROR: BARCLAYS BANK PLC KNIGHTHEAD CAPITAL MANAGEMENT ATTN: LAURA TORRADO 623 FIFTH AVENUE, 29TH FLOOR NEW YORK NY 10022
MARTA DE LA HIJA SENTIS SEAPORT LOAN PRODUCTS, LLC	C/UNIO-, 3-CASA SANT JUST DESVERN BARCELONA 08960 SPAIN
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: LBVN HOLDINGS, L.L.C. ATTN: JON SILVERMAN 360 MADISON AVENUE, 22ND FLOOR NEW YORK NY 10017
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EPIQ BANKRUPTCY SOLUTIONS, LLC

Name	Address
SERENGETI OPPORTUNITIES PARTNERS LP	TRANSFEROR: SERENGETI RAPAX MM L.P. SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISER ATTN: SHAKER CHOUDHURY 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
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SERENGETI RAPAX MM L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O SERENGETI ASSET MANAGEMENT LP ATTN: SHAKER CHOUDHURY 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
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UBS AG, STAMFORD BRANCH	TRANSFEROR: BASSWOOD OPPORTUNITY PARTNERS, L.P. ATTN: DARLENE ARIAS/CRAIG PEARSON/BPS 677 WASHINGTON BLVD STAMFORD CT 06901
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